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Cypress Ref. No.: PM01009

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANTS: Hanna A. Bamnolker, et al. DOCKET NO.: 09799940-0097  
OLD DOCKET NO. 10200/101  
SERIAL NO.: 09/927.863 GROUP ART UNIT: 1765  
DATE FILED: August 10, 2001 EXAMINER: Not Yet Known  
INVENTION: "METHODS OF FORMING SEMICONDUCTOR STRUCTURES  
HAVING REDUCED DEFECTS, AND ARTICLES AND  
DEVICES FORMED THEREBY"

**INFORMATION DISCLOSURE STATEMENT**

Commissioner of Patents

Washington, DC 20231

Dear Sir:

In accordance with the provisions of 37 C.F.R. § 1.56, Applicants request that citation and examination of the references identified on the attached PTO-1449 form, copies of which are enclosed herewith in accordance with 37 C.F.R. §1.98, be made during the course of examination of the above-referenced application for United States Letters Patent.

Respectfully submitted,

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4/28/03, 2003

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## INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

COMMISSIONER OF PATENTS  
 Washington, D.C. 20231


Sir:

Submitted herewith is an Information Disclosure Statement for consideration in the above-identified application.  
 This Information Disclosure Statement is submitted:

- ☒ Within 3 months (1) of filing date of a national application; (2) of date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application; or (3) before the mailing date of a first Office Action on the merits, whichever occurs last. (No fee is required.)
- ☐ After the mailing date of a first Office Action but before (1) mailing of a final action under 37 C.F.R. §1.113; or (2) mailing of a notice of allowance under §1.311, whichever occurs first.
  - ☐ Payment for the fee set forth in 37 C.F.R. §1.17(p) accompanies this submission; or
  - ☐ The certification specified in 37 C.F.R. §1.97(e) is made below. (No fee is required.)
- ☐ After the mailing of (1) a final action under 37 C.F.R. §1.113; or (2) a notice of allowance under 37 C.F.R. §1.311 whichever occurs first, but **before** payment of the issue fee. The certification specified in 37 C.F.R. §1.97(c) is made below. The Commissioner hereby is petitioned to consider the Information Disclosure Statement accompanying this submission. Payment for the Petition fee set forth in 37 C.F.R. §1.17(i)(1) accompanies this submission.
- ☐ The undersigned counsel for applicant(s) hereby certifies each item of information identified in the Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- ☐ The undersigned counsel for applicant(s) hereby certifies that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application, or to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.
- ☐ A check in the amount of \$0.00 to cover any required fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any deficiency in fees which may be required, or credit any overpayment to Account No. 19-3140. *A duplicate copy of this sheet is enclosed for this purpose.*

Very respectfully,

SONNENSCHN NATH & ROSENTHAL

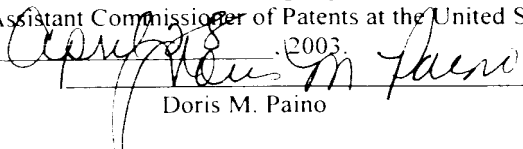
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## CERTIFICATE OF MAILING

I hereby certify that this Information Disclosure Statement is being deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner of Patents at the United States Patent and Trademark Office in Washington D.C. on September 10, 2003.

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Doris M. Paino

Form PTO-1449 (Rev. 8-88)	U.S. Department of Commerce Patent and Trademark Office	Attorney Docket No. 09799940-0097 Old Docket No. 10200/101	Serial No. 09/927,863
		Applicant: Hanna A. Bamnolker, et al.	
		Filing Date August 10, 2001	Group: 1765

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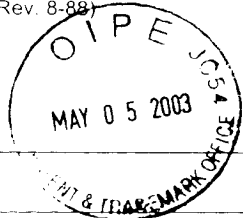
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(Rev. 8-88)U.S. Department of Commerce  
Patent and Trademark OfficeAttorney Docket No. 09799940-0097  
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		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No

## OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)

REF. NO.	DESCRIPTION (includes author, title, date, and pages)
C1	Encyclopedia of Chemical Technology, Kirk-Othmer, Volume 14, pp. 677-709 (1995).
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